1 3 5 6 UNITED STATES DISTRICT COURT 7 EASTERN DISTRICT OF WASHINGTON 8 PIPER JAFFRAY & CO., a Delaware corporation, NO. CV-06-5059-RHW 9 Plaintiff, 10 ORDER DIRECTING PARTIES TO FILE STATUS CERTIFICATE 11 v. 12 J. HERRIN, BRADLEY C. FISHER, and JAY SASSER, 13 14 Defendants. 15 A Complaint in the above-entitled action was on filed July 27, 2006 (Ct. Rec. 1). 16 Plaintiff filed a Motion for Temporary Restraining Order (Ct. Rec. 3). On July 28, 17 2006, the Court granted Plaintiff's Motion for Entry of Temporary Restraining Order, 18 which remained in effect upon conclusion of a preliminary injunction hearing, or unless 19 the NASD-DR arbitration panel hearing the dispute elects to modify this Order. On 20 August 14, 2006, Plaintiff filed a Notice Striking Preliminary Injunction Hearing (Ct. 21 Rec. 18). No further action has been taken in this matter. 22 Accordingly, IT IS HEREBY ORDERED: 23 1. On or before fourteen (14) days from the entry of this Order, the parties are 24 directed to file a status certificate notifying the Court of the status of the above-25 captioned case. 26 /// 27 /// 28 ///

ORDER DIRECTING PARTIES TO FILE STATUS CERTIFICATE ~ 1

IT IS SO ORDERED. The District Court Executive is hereby directed to enter this Order and to furnish a copy to all counsel. **DATED** this 9th day of May, 2007. s/Robert H. Whaley ROBERT H. WHALEY Chief United States District Judge Q:\CIVIL\2006\PiperJaffray\stat.wpd

ORDER DIRECTING PARTIES TO FILE STATUS CERTIFICATE ~ 2